



ASIC

Australian Securities & Investments Commission

Date: 26 June 2012

SPEAR ID: Op 'Ark'

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3867 4700
Facsimile: (07) 3867 4725

IP BLOCKING REQUEST

To: Telstra	Fax: (03) 9632 8561
Optus OB IP NMC T1 [A/C: 50060]	Fax: (02) 8085 6111
AAPT	Fax: (02) 8282 1910

Please block routing to the following foreign IP address from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
66.225.241.168.	Chicago, USA

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of an offence under the provisions of:

Section 911A(1) of the Corporations Act 2001 (Cth).

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Deterrence, Financial Services
Australian Securities & Investments Commission



ASIC

Australian Securities & Investments Commission

Date: 12 October 2012

SPEAR ID:12-40023

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3867 4700
Facsimile: (07) 3867 4725

IP BLOCKING REQUEST

To: Telstra	Fax: (03) 9632 8561	9650 - 1234
Optus OB IP NMC T1 [A/C: 50060]	Fax: (02) 8085 6111	
AAPT	Fax: (02) 8282 1940	02 9009 1705 02 9009 1735

Please block routing to the following foreign IP address from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
109. 236.83.31	Netherlands (Maasdijk)

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the **Corporations Act 2001 (Cth)**, and
- section 408C (Fraud) of the **Criminal Code (Queensland)** .

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



Date: 23 November 2012

SPEAR ID:12-400XX

IP BLOCKING REQUEST

To: Telstra
 Optus OB IP NMC T1 [A/C: 50060]
 AAPT

Fax: (03) 9650 1234
 Fax: (02) 8085 6111
 Fax: (02) 9009 1705

ASIC

Australian Securities & Investments Commission

Commonwealth Bank Building
 240 Queen Street, Brisbane
 GPO Box 9827 Brisbane QLD 4001
 DX 322 Brisbane

Telephone: (07) 3867 4700
 Facsimile: (07) 3867 4725

Please block routing to the following foreign IP address from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
50.63.33.157	Scottsdale, Arizona, USA

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland),**

in respect to an unlicensed and suspected fraudulent financial services business using the name 'Swiss Private Capital' operation in Australia

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
 Senior Manager
 Financial Services Enforcement
 Australian Securities & Investments Commission



Date: 28 November 2012

SPEAR ID:12-400XX



ASIC

Australian Securities & Investments Commission

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3567 4700
Facsimile: (07) 3867 4725

IP BLOCKING REQUEST

To: Telstra	Fax: (03) 9650 1234
Optus OB IP NMC T1 [A/C: 50060]	Fax: (02) 8085 6111
AAPT	Fax: (02) 9009 1705
Pacnet	FAX: (03) 9698 4933

Please block routing to the following foreign IP address from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
50.63.33.157	Scottsdale, Arizona, USA

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland),**

in respect to an unlicensed and suspected fraudulent financial services business using the name 'Swiss Private Capital' operation in Australia

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement



ASIC

Australian Securities & Investments Commission

Date: 19 December 2012

SPEAR ID: 12-40023

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3867 4700
Facsimile: (07) 3867 4725

IP BLOCKING REQUEST

To: Telstra	Fax: (03) 9650 1234
Optus OB IP NMC T1 [A/C: 50060]	Fax: (02) 8085 6111
AAPT	Fax: (02) 9009 1705
Pacnet	Fax: 1300 555 072

Please block routing to the following foreign IP address from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
109.236.83.198	Netherlands (Maasdijk)

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland),**

in respect to an unlicensed fraudulent financial services business using the name 'Prestige Private Wealth' operating in Australia.

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



ASIC

Australian Securities & Investments Commission

Date: 9 January 2013

Our ref: SPEAR ID: 12-50005

100 Pirie Street, Adelaide
GPO Box 9827 Adelaide SA 5001

Telephone: (08) 8202 8400
Facsimile: (08) 8202 8410

IP BLOCKING REQUEST

To: Telstra	Fax: (03) 9650 1234
Optus OB IP NMC T1 [A/C: 50060]	Fax: (02) 8085 6111
AAPT	Fax: (02) 9009 1705
Pacnet	Fax: 1300 555 072

Please block routing to the following foreign IP address from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
68.169.51.20	United States of America

The blocking of access to the IP Address nominated above is sought pursuant to **Section 313 of the Telecommunications Act 1997 (Cth)** in connection with the investigation by the Australian Securities & Investments Commission of possible contraventions by John William Ullmann and/or China Environment Group Ltd of the following sections of the Corporations Act 2001:

- s727(offering securities without a current disclosure document);
- s736 (security hawking prohibited);
- s911A (unlicensed conduct);
- s1041E (false or misleading statements inducing persons to apply for or dispose of financial products); and/or
- s1041G (dishonest conduct in relation to a financial service or financial product).

Should you require any further information concerning this request, please contact me on (08) 8202 8481 or 0411 549 133 or by email to Ralph.Holmes@asic.gov.au.

Thank you for your assistance in this matter.

Ralph Holmes
Senior Manager, Financial Services Enforcement
Australian Securities & Investments Commission



ASIC

Australian Securities & Investments Commission

Date: 15 February 2013

SPEAR ID: 12-40025

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3867 4700
Facsimile: (07) 3867 4725

IP BLOCKING REQUEST

To: Telstra	Fax: (03) 9650 1234
Optus OB IP NMC T1 [A/C: 50060]	Fax: (02) 8085 8508
AAPT	Fax: (02) 9009 1705
Pacnet	Fax: 1300 555 072

Please block routing to the following foreign IP addresses from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
50.63.33.157	Scottsdale,
50.63.43.206	Arizona, USA

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland),**

in respect to an unlicensed fraudulent financial services business using the names 'Swiss Private Capital' and 'Swiss Union' operating in Australia.

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



ASIC

Australian Securities & Investments Commission

Date: 14 March 2013

Our ref: SPEAR ID: 12-50005

100 Pirie Street, Adelaide
GPO Box 9827 Adelaide SA 5001

Telephone: (08) 8202 8400
Facsimile: (08) 8202 8410

IP BLOCKING REQUEST

To: Telstra	Fax: (03) 9650 1234
Optus OB IP NMC T1 [A/C: 50060]	Fax: (02) 8085 6111
AAPT	Fax: (02) 9009 1705
Pacnet	Fax: 1300 555 072

Please block routing to the following foreign IP address until further notice:

IP Address	Hosting Country
68.169.51.20	United States of America

The blocking of access to the IP Address nominated above is sought pursuant to **Section 313 of the Telecommunications Act 1997 (Cth)** in connection with the investigation by the Australian Securities & Investments Commission of suspected contraventions by John William Ullmann and/or China Environment Group Ltd of the following sections of the Corporations Act 2001:

- s727(offering securities without a current disclosure document);
- s736 (security hawking prohibited);
- s911A (unlicensed conduct);
- s1041E (false or misleading statements inducing persons to apply for or dispose of financial products); and/or
- s1041G (dishonest conduct in relation to a financial service or financial product).

A copy of an order of the Federal Court of Australia dated 13 March 2013 requiring Mr Ullmann to deactivate the principal website is attached for your information. Your attention is drawn to paragraph 4.

Should you require any further information concerning this request, please contact me on (08) 8202 8481 or 0411 549 133 or by email to Ralph.Holmes@asic.gov.au.

Thank you for your assistance in this matter.


 Ralph Holmes
 Senior Manager, Financial Services Enforcement
 Australian Securities & Investments Commission

Order Entered

No: SAD46/2013

Federal Court of Australia
District Registry: South Australia
Division: General

In the matter of CHINA ENVIRONMENT GROUP LTD

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION
Plaintiff

CHINA ENVIRONMENT GROUP LTD and another named in the schedule
Defendant

ORDER

JUDGE: Besanko J
DATE OF ORDER: 13 March 2013
WHERE MADE: Adelaide

PENAL NOTICE:

IF YOU, JOHN WILLIAM ULLMANN;

(A) REFUSE OR NEGLECT TO DO ANY ACT WITHIN THE TIME SPECIFIED IN THIS ORDER; OR

(B) DISOBEY THE ORDER BY DOING AN ACT WHICH THE ORDER REQUIRES YOU TO ABSTAIN FROM DOING;

YOU WILL BE LIABLE TO IMPRISONMENT, SEQUESTRATION OF PROPERTY, OR ANY OTHER ACTION THE COURT MAY DETERMINE.

IF ANY PERSON WHO KNOWS OF THIS ORDER DOES ANYTHING TO ASSIST YOU IN DISOBEYING THE ORDER AS SPECIFIED ABOVE, THEY WILL ALSO BE LIABLE TO IMPRISONMENT, SEQUESTRATION OF PROPERTY, OR ANY OTHER ACTION THE COURT MAY DETERMINE.

THE COURT ORDERS THAT:

1. Pursuant to r 1.34 of the *Federal Court Rules 2011* any requirement that the plaintiff file a supporting affidavit contemporaneously with the originating process be dispensed with.
2. Pursuant to r 10.23 of the *Federal Court Rules 2011* the second defendant be deemed to have been served on 7 March 2013 with the originating process and the interlocutory process.
3. Until further order the second defendant, John William Ullmann (“Ullmann”) be restrained from:
 - 3.1. carrying on a business in Australia by which he or his servants or agents:
 - 3.1.1. provide advice in relation to any financial product (including but not limited to securities);
 - 3.1.2. deal in, or arrange for any person to apply for, or to acquire, any financial product (including but not limited to securities);
 - 3.1.3. solicit investment in China Environment Group Limited (“CEG”) from members of the public;
 - 3.1.4. receive funds deposited with, or intended for investment in, CEG;
 - 3.2. parting with possession of, or disposing of, destroying, amending, altering, or causing or procuring the destruction, amendment or alteration of, the books and records of CEG, and any documents recording money paid to or received by CEG.
4. Ullmann, whether personally or by his servants, agents or employees, cause to be deactivated, so that it may not be accessed by internet protocol addresses in Australia, all internet websites promoting, advertising, marketing or offering of the business of CEG, in particular but not limited to the website conducted with the domain name “http:www.chinaenvironmentgroup.com”.
5. Pursuant to r 10.44(1) the plaintiff, Australian Securities and Investments Commission (“ASIC”) be given leave to serve these orders:
 - 5.1. on Ullmann by sending these orders addressed to Ullmann by post to:
Flat 29C, Block 4
South Horizons
Ap Lei Chau
Hong Kong
6. If the defendant does not obey the orders, he will be liable to Court proceedings and punishment for contempt and compelled to obey the orders.

Date that entry is stamped: 13 March 2013.

District Registrar

Schedule

No: SAD46/2013

Federal Court of Australia

District Registry: South Australia

Division: General

Second Defendant:

JOHN WILLIAM ULLMANN



ASIC

Australian Securities & Investments Commission

Date: 18 March 2013

SPEAR ID: 12-40023

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3867 4700
Facsimile: (07) 3867 4725

IP BLOCKING REQUEST

To: Telstra	Fax: (03) 9650 1234
Optus OB IP NMC T1 [A/C: 50060]	Fax: (02) 8085 6111
AAPT	Fax: 02 9009 1735
Pacnet	Fax: 1300 555 072

Please block routing to the following foreign IP addresses from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
50.63.202.45	Scottsdale, Arizona, USA
217.23.3.165	Tilburg, Netherlands

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland),**

in respect to an unlicensed fraudulent financial services business using the names 'Global Capital Wealth' and 'Global Capital Australia' operating in Australia.

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



ASIC

Australian Securities & Investments Commission

Date: 26 March 2013

SPEAR ID: 12-40025

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3867 4700
Facsimile: (07) 3867 4725

IP BLOCKING REQUEST

To: Telstra
Optus

AAPT
Pacnet

Fax: **(03) 9650 1234**
nmccmi@optus.net.au
noc@optus.net.au
Fax: **(02) 9009 1705**
Fax: **1300 555 072**

Please block routing to the following foreign IP address from within Australia for a period of three calendar month from the date of this request:

IP Address	Hosting Country
50.63.33.157	Scottsdale, Arizona, USA

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland),**

in respect to an unlicensed fraudulent financial services business using the names 'Swiss Private Capital' and 'Swiss Union' operating in Australia.

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



ASIC

Australian Securities & Investments Commission

Date: 26 March 2013

SPEAR ID: 12-40023

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3867 4700
Facsimile: (07) 3867 4725

IP BLOCKING REQUEST

To: Telstra
Optus

Fax: **(03) 9650 1234**
nmccmi@optus.net.au
noc@optus.net.au

AAPT
Pacnet
Pipenetworks

Fax: **02 9009 1735**
Fax: **1300 555 072**
legal@pipenetworks.com

Please block routing to the following foreign IP addresses from within Australia for a period of one calendar month from the date of this request:

IP Address	Hosting Country
50.63.202.45	Scottsdale, Arizona, USA
217.23.3.165	Tilburg, Netherlands

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the Telecommunications Act 1997 (Cth)**, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the Corporations Act 2001 (Cth), and**
- **section 408C (Fraud) of the Criminal Code (Queensland),**

in respect to an unlicensed fraudulent financial services business using the names 'Global Capital Wealth' and 'Global Capital Australia' operating in Australia.

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission



ASIC

Australian Securities & Investments Commission

Date: 3 April 2013

SPEAR ID: 12-40023

Commonwealth Bank Building
240 Queen Street, Brisbane
GPO Box 9827 Brisbane QLD 4001
DX 322 Brisbane

Telephone: (07) 3867 4700
Facsimile: (07) 3867 4725

IP BLOCKING REQUEST

To: Telstra
Optus

AAPT
Pacnet
Pipenetworks

Fax: **(03) 9650 1234**
nmccmi@optus.net.au
noc@optus.net.au
Fax: **02 9009 1735**
Fax: **1300 555 072**
legal@pipenetworks.com

Please block routing to the following foreign IP address from within Australia for a period of two calendar months from the date of this request:

IP Address	Hosting Country
198.136.54.104	Orlando, Florida, USA

I consider that the blocking of access to the IP Address nominated above is reasonably necessary within the meaning of **Section 313(3) of the *Telecommunications Act 1997 (Cth)***, and is sought pursuant to the investigation of the offences by the Australian Securities & Investments Commission under the provisions of:

- **sections 1041G (Dishonest Conduct), 1041F (Inducing a person to deal in a financial product) and 911A(1) (unlicensed dealing in financial products) of the *Corporations Act 2001 (Cth)*, and**
- **section 408C (Fraud) of the *Criminal Code (Queensland)*,**

in respect to an unlicensed fraudulent financial services business using the names 'Global Capital Wealth' and 'Global Capital Australia' operating in Australia.

Should you require any further information concerning this request, please contact me on (07) 38674709 or 0411 549043 or email to Michael.ryan@asic.gov.au.

Thank you for your assistance in this matter.

Michael R. Ryan
Senior Manager
Financial Services Enforcement
Australian Securities & Investments Commission